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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>		<b>Attorney Docket No.</b> H 5098 PCT/US	
		<b>First Inventor</b> Naumann, et al	
		<b>Title</b> INDOLE/INDOLINE BASED HYBRID DYES AND INDOLE/INDOLINE BASED HYBRID DYE INTERMEDIATE PRODUCTS	
		<b>Express Mail Label No.</b> EV192785866US	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application P.O. Box 1450 Commissioner for Patents Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.77</small> 3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages] <b>61</b></span> <small>(preferred arrangement set forth below)</small> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets] <b>6</b></span> 5. Oath or Declaration <b>executed</b> <span style="float: right;">[Total Pages] <b>6</b></span> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> <b>ACCOMPANYING APPLICATION PARTS</b>          9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))          10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney  <small>(when there is an assignee)</small>          11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small>          12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations          13. <input type="checkbox"/> Preliminary Amendment          14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)  <small>(Should be specifically itemized)</small>          15. <input type="checkbox"/> Certified Copy of Priority Document(s)  <small>(If foreign priority is claimed)</small>          16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  <b>Applicant must attach form PTO/SB/35 or its equivalent</b>          17. <input checked="" type="checkbox"/> Other: International Search Report       </div>
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**18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:**

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: PCT/EP02/00013 filed January 3, 2002


Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label <span style="float: right;">00423</span> or <input type="checkbox"/> Correspondence address below <small>(Insert Customer No. or Attach bar code label here)</small>	
Name	Henkel Corporation
Address	Law Department 2500 Renaissance Blvd., Suite 200
City	Gulph Mills
State	PA
Zip Code	19406
Country	US
Telephone	610-278-4964
Fax	610-278-6548

Name (Print/Type)	Gregory M. Hill	Registration No. (Attorney/Agent)	31,369
Signature		Date	7/7/03

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

16018 U.S. PTO  
10/616428  
07/09/03

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2003</h2> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision.</p>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">To be assigned</td> </tr> <tr> <td>Filing Date</td> <td>To be assigned</td> </tr> <tr> <td>First Named Inventor</td> <td>Naumann, et al.</td> </tr> <tr> <td>Examiner Name</td> <td>To be assigned</td> </tr> <tr> <td>Art Unit</td> <td>To be assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>H 5098 PCT/US</td> </tr> </table>		Application Number	To be assigned	Filing Date	To be assigned	First Named Inventor	Naumann, et al.	Examiner Name	To be assigned	Art Unit	To be assigned	Attorney Docket No.	H 5098 PCT/US
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27															
TOTAL AMOUNT OF PAYMENT	(\$) <b>790.00</b>														

<p><b>METHOD OF PAYMENT</b> (check all that apply)</p> <p> <input type="checkbox"/> Check             <input type="checkbox"/> Credit Card             <input type="checkbox"/> Money             <input type="checkbox"/> Other             <input type="checkbox"/> None         </p> <p> <input checked="" type="checkbox"/> Deposit Account:      Order         </p> <p>           Deposit Account Number: <span style="border: 1px solid black; padding: 2px;">01-1250 Order No. 03-0358 and 03-0359</span> </p> <p>           Deposit Account Name: <span style="border: 1px solid black; padding: 2px;">Henkel Corporation</span> </p> <p>The Commissioner is authorized to: (check all that apply)</p> <p> <input checked="" type="checkbox"/> Charge fee(s) indicated below             <input type="checkbox"/> Credit any overpayments         </p> <p> <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application         </p> <p> <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.         </p> <p style="text-align: center;"><b>FEE CALCULATION</b></p> <p><b>1. BASIC FILING FEE</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity</th> <th>Small Fee Code</th> <th>Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>740</td> <td>2001</td> <td>370</td> <td>Utility filing fee</td> <td style="text-align: center;">750</td> </tr> <tr> <td>1002</td> <td>330</td> <td>2002</td> <td>165</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>1003</td> <td>510</td> <td>2003</td> <td>255</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>1004</td> <td>740</td> <td>2004</td> <td>370</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>SUBTOTAL (1)</b></td> <td style="text-align: center;">(\$)<b>750.00</b></td> </tr> </tbody> </table> <p><b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>10</td> <td>-20**=</td> <td>X \$18.00 =</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>3</td> <td>- 3**=</td> <td>X \$84.00 =</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td>\$280.00 =</td> <td></td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity</th> <th>Small Fee Code</th> <th>Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>1201</td> <td>84</td> <td>2201</td> <td>42</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>1203</td> <td>280</td> <td>2203</td> <td>140</td> <td>Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>1204</td> <td>84</td> <td>2204</td> <td>42</td> <td>** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>SUBTOTAL (2)</b></td> <td style="text-align: center;">(\$)</td> </tr> </tbody> </table> <p><small>** or number previously paid, if greater; For Reissues, see above</small></p>	Large Fee Code	Entity	Small Fee Code	Entity	Fee Description	Fee Paid	1001	740	2001	370	Utility filing fee	750	1002	330	2002	165	Design filing fee		1003	510	2003	255	Plant filing fee		1004	740	2004	370	Reissue filing fee		1005	160	2005	80	Provisional filing fee		<b>SUBTOTAL (1)</b>					(\$) <b>750.00</b>	Total Claims	Extra Claims	Fee from below	Fee Paid	10	-20**=	X \$18.00 =		Independent Claims	3	- 3**=	X \$84.00 =	Multiple Dependent		\$280.00 =		Large Fee Code	Entity	Small Fee Code	Entity	Fee Description	Fee Paid	1202	18	2202	9	Claims in excess of 20		1201	84	2201	42	Independent claims in excess of 3		1203	280	2203	140	Multiple dependent claim, if not paid		1204	84	2204	42	** Reissue independent claims over original patent		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b>					(\$)	<p><b>3. 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1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																											
1403	280	2403	140	Request for oral hearing																																																																																																																																																																																																																																																																																											
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																											
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																											
1453	1,280	2453	640	Petition to revive - unintentional																																																																																																																																																																																																																																																																																											
1501	1,280	2501	640	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																											
1502	460	2502	230	Design issue fee																																																																																																																																																																																																																																																																																											
1503	620	2503	310	Plant issue fee																																																																																																																																																																																																																																																																																											
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																											
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																																																																																											
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																											
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																																																																																																																																																										
1809	740	2809	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																																																											
1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																																																											
1801	740	2801	370	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																											
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																											
Other fee (specify)																																																																																																																																																																																																																																																																																															
<b>SUBTOTAL (3)</b>					(\$) <b>40.00</b>																																																																																																																																																																																																																																																																																										

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Gregory M. Hill	Registration No.	31,369
		(Attorney/Agent)	
Signature		Telephone	610-278-4946
		Date	7/9/03

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.